



2171
11

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Title: DECISION ENGINE AND
Evans-Beauchamp, et al.) METHOD AND
) APPLICATIONS
) THEREOF
)
)
Serial No.: 09/908,984) Examiner: Not Yet Assigned
)
Filed: July 18, 2001) Group Art Not Yet Assigned
) Unit:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

The following items are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449

RECEIVED

MAR 15 2002

Technology Center 2100

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on:

March 4, 2002

(Date of Deposit)

Brenda L. Godfrey

(Name of the Person Making the Deposit)

Brenda L. Godfrey
(Signature)

March 4, 2002

(Date of Signature)

RECEIVED

MAR 18 2002

Attorney Docket No. 00122/003001

OFFICE OF PETITIONS

1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

In addition to the references cited in the attached PTO Form 1449, Applicants have cited and incorporated by reference various books as listed below.

1. "Data Warehousing, Data Mining, and OLAP", by Alex Berson, et al. (McGraw Hill 1997).
2. "Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference", by Judea Pearl (Morgan Kaufmann 1988).
3. "The Foundations of Decision Analysis", by Ronald A. Howard (Stanford 1998).
4. "Intelligent Decision Systems", by Samuel Holtzman (Addison-Wesley Publishing Co. 1989).

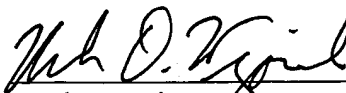
As these books were provided as part of the provisional application, they are not again provided here. If the Office or Examiner would like to see these books, they are respectfully directed towards the earlier provisional filing. If an additional copy of these books are desired, please so advise the Applicants.

RECEIVED
MAR 18 2002

No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within three (3) months of the application filing date or before receipt of the First Office Action.

However, if any fees are required in connection with this paper, authorization is hereby given to the Commissioner for Patents to charge same to Deposit Account No. 50-1187.

Date: March 4, 2002



Mark D. Wieczorek
Attorney for Applicants
Registration No. 37,966

P.O. Box 70072.
San Diego, CA 92167
Phone: (619) 223-7210
Fax: (619) 223-7210

RECEIVED

MAR 18 2002

OFFICE OF PETITIONS

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Application Number				09/908,984	
Filing Date				July 18, 2001	
First Named Inventor				Evans-Beauchamp	
Group Art Unit				Not Yet Assigned	
Examiner Name				Not Yet Assigned	
Attorney Docket Number				00122/003001	

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	6,056,690		Roberts	05/02/00	
	AB	5,133,046		Kaplan	07/21/92	
	AC	6,024,705		Schlager, et al.	02/15/00	
	AD	5,787,236		Tucci	07/28/98	
	AE	5,764,923		Tallman, et al.	06/09/98	
	AF	5,704,017		Heckerman, et al.	12/30/97	
	AG	5,715,374		Heckerman, et al.	02/03/98	
	AH	6,067,565		Horvitz	05/23/00	
	AI	5,696,964		Cox, et al.	12/09/97	
	AJ	5,539,704		Doyen, et al.	07/23/96	
	AK	5,696,884		Heckerman, et al.	12/09/97	
	AL	5,802,256		Heckerman, et al.	09/01/98	
	AM	5,704,018		Heckerman, et al.	12/30/97	
	AN	5,987,415		Breese, et al.	11/16/99	
	AO	5,855,011		Tatsuoka	12/29/98	
	AP	5,844,817		Lobley, et al.	12/01/98	
	AQ	5,659,771		Golding	08/19/97	
	AR	5,999,893		Lynch, Jr., et al.	12/07/99	
	AS	5,471,382		Tallman, et al.	11/28/95	
	AT	4,870,579		Hey	09/26/89	
	AU	4,996,642		Hey	02/26/91	

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹²Unique: include copy of this form with next communication to applicant.
¹³Considered: citation designation number. ¹⁴See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (MFI for
 Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and trademark Office, Washington, DC 20231.

PTO/SB/O8B (10-96)

Approved for use through 10/31/99, OMB 0651-0031

Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTD INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known Application Number 09/908,984 Filing Date July 18, 2001 First Named Inventor Evans-Beauchamp Group Art Unit Not Yet Assigned Examiner Name Not Yet Assigned Attorney Docket Number 00122/003001	
Sheet	2	of	2		

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹²Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**